

Listings Changes Specifications

File Names

Separate product files for the Toronto Stock Exchange and TSX Venture Exchange:

- Toronto Stock Exchange product file name: YYYYMMDDTSXListingsChanges.txt
- Toronto Stock Exchange product file name: YYYYMMDDTSXVentureListingsChanges.txt

File Layout & Format

File Format: ASCII, Variable Length (TAB delimited)		
Field Name	Field Type	Processing Notes
IDENTIFICATION	Numeric	Unique identifier for the stock list change.
ENTRY DATE	MM/DD/YYYY	Indicates when record first added
CURRENT CUSIP	Alphanumeric	
NEW CUSIP	Alphanumeric	
CHANGE TYPE	Alphanumeric	For possible values, see these sections that follow: <ul style="list-style-type: none"> • “Change Type Values – Toronto Stock Exchange” • “Change Type Values – TSX Venture Stock Exchange”
SECURITY DESCRIPTION	Alphanumeric	
LIST SYMBOL	Alphanumeric	
DELIST SYMBOL	Alphanumeric	
SPLIT/CONSOLIDATION FACTOR	Alphanumeric	Format is “ (X:Y) ” , i.e. (3:2) indicates a three for two split; (1:10) indicates a one for ten consolidation.
EFFECTIVE DATE	MM/DD/YYYY	See Effective Date details on reverse
DESCRIPTION	Alphanumeric	Free text to provide additional details. For TSX Venture Exchange, this will also include notes to indicate if the listing change involved any of the following: <ul style="list-style-type: none"> • Amalgamation • Amalgamation – RTO • Plan of Arrangement • Qualifying Transaction (QT)
MOD DATE	Numeric	Indicates most recent day that record was modified

Change Type Values – Toronto Stock Exchange

• Delist	• Name Change	• New Listing	• Reinstatement
• Subdivision*	• Substitutional (Listing)	• Supplemental (Listing)	• Suspension
• Symbol Change	• Substitutional and Name Change		

*Consolidations are also reported under the Subdivision change type.

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Change Type Values – TSX Venture Exchange

<ul style="list-style-type: none"> Cease Trade Order 	<ul style="list-style-type: none"> Delist 	<ul style="list-style-type: none"> Graduation to TSX 	<ul style="list-style-type: none"> Graduation to TSX Venture
<ul style="list-style-type: none"> Name Change and/or Consolidation 	<ul style="list-style-type: none"> Name Change and/or Stock Split 	<ul style="list-style-type: none"> New Listing 	<ul style="list-style-type: none"> Reinstatement
<ul style="list-style-type: none"> Suspension 	<ul style="list-style-type: none"> Symbol Change and/or Consolidation 	<ul style="list-style-type: none"> Symbol Change and/or Stock Split 	<ul style="list-style-type: none"> Tier Reclassification
<ul style="list-style-type: none"> Transfer/Addition to NEX 			

Delivery

- Daily at 4:00 PM ET
- Available through FTP, e-mail, or online

History

History available on request:

- from May 2006 for Toronto Stock Exchange
- from March 2007 for TSX Venture Exchange